APR 1 9 2004

3749

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/675.342 **TRANSMITTAL** Filing Date 09-30-2003 **FORM** First Named Inventor TAO HONG Art Unit (to be used for all correspondence after initial filing) 3749 Examiner Name Attorney Docket Number VAL 181 P2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Provisional Application Proprietary Information After Final Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): **RETURN CARD** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm MATTHEW R. JENKINS, ESQ. JACOX, MECKSTROTH & JENKINS Individual name Signature 1 Date 04 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name REBEÇÇA LANTIS Date Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Inventor(s)

Tao Hong

John R. Savage

Serial No.

10/675,342

Filed

09-30-2003

Title

FAN HUB ASSEMBLY FOR

EFFECTIVE MOTOR COOLING

Group

3749

Docket

VAL 181 P2

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Pursuant to the provisions of 37 CFR §1.97(b), Applicant(s) wishes to disclose the materials listed below and on the attached form PTO/SB/08A which the Examiner may find relevant to the examination of the above-identified patent application. Pursuant to the Official Gazette Notice of August 5, 2003, waiving the requirements under 37 CFR §1.98(a)(2)(i), Applicant(s) believe copies of any U.S. patents or U.S. patent applications are not required. Copies of any Foreign or Non-Patent references are enclosed herewith.

Patent or Pub. No.	Issued	Inventor	<u>Title</u>
5,577,888	11-26-1996	Capdevila et al	High Efficiency, Low-Noise, Axial Fan Assembly.
4,836,148	06-06-1989	Savage et al.	Shrouding for Engine Cooling Fans.

The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b). By filing this statement, Applicant(s) is not making any representation as to whether any of the references referred to herein are material. Further, Applicant(s) cites these references without prejudice, and Applicant(s) reserves the right to contest the status of these references as prior art.

CERTIFICATE OF MAILING

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Although Applicant(s) believes that no fee is required by filing this statement, the Commissioner is authorized to charge any required fee to Deposit Account 50-1287.

The Commissioner is hereby authorized to charge any required by this paper, or to credit any overpayment, to **Deposit Account No. 50-1287**. Applicants hereby provide a general request for any extension of time which may be required at any time during the prosecution of the application. The Commissioner is also authorized to charge any deficiencies that have not been previously paid for and that are required during the prosecution of this application to **Deposit Account No. 50-1287**. (Should Deposit Account No. 50-01287 be deficient, please charge any further deficiencies to Deposit Account No. 10-0220).

Respectfully submitted,

JACOX, MECKSTROTH & JENKINS /

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April 9, 2004

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Substitute for form 1449/PTO

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Sheet 1 of 1 Attorney Docket Number VAL 181 P2

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 5,577,888	11-26-1996	CAPDEVILA ET AL.	
		^{US-} 4,836,148	06-06-1989	SAVAGE ET AL.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner	Date	
Signature	Considered	
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